

518 Rec'd PCT/PTO 31 JUL 2001

Practitioner's Docket No.: 939_026

PATENT

IN THE UNITED STATES DESIGNATED OFFICE (DO/US)

09/857,540
U.S. APPLICATION NO.

PCT/JP00/07086
INTERNATIONAL APPLICATION NO.

12 October 2000
INTERNATIONAL FILING DATE

15 October 1999
PRIORITY DATE CLAIMED

TITLE OF INVENTION
HIGH PRESSURE DISCHARGE LAMP ARC TUBE AND METHOD OF PRODUCING
THE SAME

APPLICANT(S) FOR DO/US
Sugio MIYAZAWA and Michio ASAI

Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231
ATTENTION: DO/US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to the Box PCT, Attention: DO/US, Assistant Commissioner for Patents, Washington D.C. 20231 on July 31, 2001, under "Express Mail Number" EL 912655288 US.

Gina M. Husak

NOTIFICATION OF PRIOR SUBMISSION
OF ORIGINAL EXECUTED DECLARATION

Sir:

In response to the Notification of Missing Requirements mailed July 18, 2001, applicants' representatives filed all the requested documents with the U.S. PTO on July 23, 2001. Attached hereto are photocopies of these documents, the PTO's copy of the Notification of Missing Requirements, and our postcard receipt.

The PTO is requested to telephone the undersigned should they have any questions regarding this submission.

Respectfully submitted,

July 31, 2001
Date

Stephen P. Burr
Reg. No. 32,970

SPB/gmh

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WASHINGTON, D.C. 20535



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
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09/857540

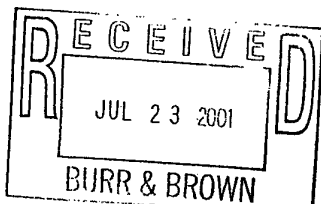
MIYAZAWA

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939 026

INTERNATIONAL APPLICATION NO.

PCT/JP00/07086

STEPHEN P BURR
BURR & BROWN
P O BOX 7068
SYRACUSE, NY 13261 7068

I.A. FILING DATE

PRIORITY DATE

12 OCT 00

15 OCT 99

DATE MAILED:

18 JUL 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☒ a Designated Office (37 CFR 1.494) ☐ an Elected Office (37 CFR 1.495):

- | | |
|---|--|
| <input checked="" type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Indication of Small Entity Status. |
| <input checked="" type="checkbox"/> Copy of the international application. | <input checked="" type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventors(s). | <input type="checkbox"/> Translation of Article 19 amendments into English. |
| <input type="checkbox"/> Copy of Article 19 amendments. | <input type="checkbox"/> Other: |
| <input checked="" type="checkbox"/> Priority Document. | |
| <input type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. | |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. | |

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice *MUST* be returned with this response.

- Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875 ☐ PCT/DO/EO/920

Winsto M Alvarado

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-6424

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AUG 27 2001

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Your mail room stamp hereon will acknowledge receipt of a Completion of Filing Requirements for International Application Entering National Stage in U.S. Designated Office under 35 USC 371 (in duplicate), a combined Declaration and Power of Attorney (3 pages), a Submission of Certified Copies of Priority Documents and certified copies of Japanese Application 11-294180 filed October 15, 1999 and Japanese Application 2000-306641 filed October 5, 2000, an Assignment Transmittal and Assignment, and our check in the amount of \$170.00 for:

(939_026 - July 23, 2001) SPB/eav

Applicants: Sugio MIYAZAWA and Michio ASAI
Ser. No.: 09/857,540
Intn'l Appl'n: PCT/JP00/07086 Intn'l Filing Date: 12 October 2000
Earliest Priority Date Claimed: 15 October 1999
Title: HIGH PRESSURE DISCHARGE LAMP ARC TUBE AND METHOD OF PRODUCING THE SAME

Burr & Brown 101 South Salina Street, Seventh Floor Syracuse, NY 13202		Manufacturers and Traders Trust Company Salina Street Office Syracuse, NY 13202		2379
Assistant Commissioner for Patents		10-4/220	7/23/2001	
One Hundred Seventy and 00/100*****		\$ **170.00		
Assistant Commissioner for Patents Washington DC 20231		DOLLARS		
MEMO 939-026 late filing of dec & assignment		Elizabeth Van C... AUTHORIZED SIGNATURE		

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